

## FATCA-CRS Declaration & Supplementary KYC Information Declaration Form

Please seek appropriate advice from your tax professional on your tax residency and FATCA & CRS related guidance

PAN

CLIENT ID:

Name

Type of Address Given For KYC/KRA ☐ RESIDENTIAL ☐ RESIDENTIAL OR BUSINESS ☐ BUSINESS ☐

Place of Birth

Country of Birth  Nationality

Gross Annual Income Details (In INR) ☐ BELOW 1 LAKH ☐ 1 – 5 LACS ☐ 5 – 10 LACS ☐ 10 – 25 LACS ☐ 25 LACS – 1 CR ☐ > 1 CRORE

Net Worth in INR In Lakhs  Net Worth As On

Occupation : Business ☐ Professional ☐ Public Sector ☐ Housewife ☐ Retired ☐  
Details Private Sector ☐ Government Service ☐ Student ☐ Others (Please Specify)

Politically Exposed Person (PEP): Yes ☐ Related to PEP ☐ Not Applicable ☐

Is your Country of Tax Residency other than India – Yes ☐ No ☐

If 'Yes', please specify the details of all countries where you hold tax residency and its Tax Identification Number & type

S No	Country of Tax Residency#	Tax Payer Identification Number / Functional Equivalent	Identification Type [TIN or other, please specify]
1			
2			
3			

# to include all countries other than India, where investor is Citizen / Resident / Green Card Holder / Tax Resident in those respective countries **especially of USA**

### Declaration:

I/We have read & understood the information requirements of this Form (Read along with the FATCA & CRS Instructions on next page) and hereby confirm that the information provided by me/us is true, correct & complete. I/We hereby authorize you to disclose, share, rely, remit in any form, mode or provided by me to any Indian or Foreign governmental statutory or judicial authorities/agencies, Financial Intelligence Unit (FIU-IND), the tax/revenue authorities of India, or outside India wherever it is legally required & other investigation agencies without any obligation of advising me of the same. Further, I authorize to share the given information to other SEBI registered intermediaries / or any regulated intermediaries registered with SEBI/RBI/IRDA/PFRDA to facilitate single submission/update & for other relevant process. I also undertake to keep you informed in writing about any changes / modification to the above information in future and also undertake to provide any other additional information as may be required at your end. I/We further agree to abide by the provisions on Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS).

Date:  Place:  Signature:

Note: The information in this section is being collected in order to comply with Foreign Account Tax Compliance Act (FATCA) requirements pursuant to amendments made to Income-tax Act 1961 read with Income-tax Rules, 1962:

**In case of no reply from you within 30 days, it will be assumed that your country of tax residency is ONLY INDIA.**

## FATCA / CRS Terms & Conditions

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian Financial Institutions to seek additional personal, tax & beneficial owner information and certain certifications and documentations from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Towards compliance with tax information sharing laws, such as FATCA and CRS, we would be required to seek additional personal, tax and beneficial owner information and certain certifications and documentations from our account holders. Such information may be sought either at the time of account opening or any time subsequently. As may be required by domestic or overseas regulators/tax authorities, we may also be constrained to withhold and pay out any sums from your account or close or suspend your account(s).

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e. within 30 days from the occurrence of the change in information.

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with the US Tax Identification Number.

Foreign Account Tax Compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010.

In case customer has the following Indicia pertaining to a feign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below :

FATCA & CRS Indicia Observed (ticked)	Documentation required for Cure of FATCA/CRS Indicia
U.S. Place of Birth	1. Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes: 2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below) AND 3. Any of the following Documents : <ul style="list-style-type: none"><li>• Certified Copy of “Certificate of Loss of Nationality”, or</li><li>• Reasonable explanation of why the customer does not have such a certificate despite renouncing citizenship: or</li><li>• Reason the customer did not obtain U.S. Citizenship at birth</li></ul>
Residence/mailling address in a country other than India	1. Self-certification that the account holder is neither a citizen of United States of America nor a resident of any other country other than India: and 2. Documentary evidence (refer list below)
Telephone number in a country other than India	Whether Indian telephone number is provided or not provided along with a foreign country telephone number <ul style="list-style-type: none"><li>1. Self-certification that the account holder is neither a citizen of United States of America nor a resident of any other country other than India: and</li><li>2. Documentary evidence (Refer list below)</li></ul>

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized Government body\*
2. Valid Identification issued by authorized Government body\* (e.g. Passport, National Identity Cards, etc.)

\* Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.